

2-25-02

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02/25/02



J1002 U.S. PTO

# Utility Patent Application Transmittal

Attorney Docket No.: G-4

First Inventor: Scott A. Ciarrocca

Title: Electrosurgical Apparatus and Methods for Cutting Tissue

Express Mail Label No.: EU190272872US

## APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

J1002 U.S. PTO

10/082017

02/25/02

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
2. ☐ Applicant claims small entity status.
3. ☒ Specification - Total Pages 108
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

4. ☒ Drawings - Total Sheet 63
5. ☒ Oath or Declaration - Total Pages 3
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ Deletion of Inventors
6. ☒ Application Data Sheet

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet/documents)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ Information Disclosure Statement (PTO-1449)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Document
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i)
17. ☐ Other \_\_\_\_\_

18. ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.
  - ☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP)

of prior application No.: 09/780,745

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address:

Customer Number 021394

John T. Raffle  
Reg. 38,585

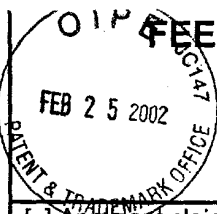
Date

## CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. EU190272872US and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

Katie Zarzana

Date



# FEE TRANSMITTAL for FY 2002

[ ] Applicant claims small entity status. 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT \$3,032**

## METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

**Deposit Account No. 50-0359**  
ArthroCare Corporation

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity				
Fee Code	Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

**SUBTOTAL (1) \$740**

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 124 - 20** = 104	x 18	= 1,872	
Independent 8 - 3** = 5	x 84	= 420	
Claims Multiple Dependent			

Large Entity	Small Entity				
Fee Code	Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dep. Claim	
109	209	84	42	**Reissue indep. over orig.	
110	210	18	9	**Reissue clms over 20	

**SUBTOTAL (2) \$2,292**

\*\*or number previously paid, if greater; For Reissues, see above

Filing Date: *herewith*

First Named Inventor: Scott A. Ciarrocca

Examiner Name:

Group Art Unit:

Attorney Docket No.: G-4

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65			Surcharge - late filing fee or oath	
127	227	50	25			Surcharge - late provisional filing fee or cover sheet	
139	139	130	130			Non-English specification	
147	147	2,520	2,520			Request for ex parte reexamination	
112	112	920*	920*			Requesting publication of SIR prior to Examiner Action	
113	113	1,840	1,840*			Requesting publication of SIR after Examiner Action	
115	115	110	55			Extension for reply within 1 <sup>st</sup> month	
116	116	400	200			Extension for reply within 2 <sup>nd</sup> month	
117	117	920	460			Extension for reply within 3 <sup>rd</sup> month	
118	118	1,440	720			Extension for reply within 4 <sup>th</sup> month	
128	128	1,960	980			Extension for reply within 5 <sup>th</sup> month	
119	119	320	160			Notice of Appeal	
120	120	320	160			Filing a brief in support of an appeal	
121	121	280	140			Request for oral hearing	
138	138	1,510	1,510			Petition to institute a public use proceeding	
140	140	110	55			Petition to revive - unavoidable	
141	141	1,280	640			Petition to revive - unintentional	
142	142	1,280	640			Utility issue fee (or reissue)	
143	143	460	230			Design issue fee	
144	144	620	310			Plant issue fee	
122	122	130	130			Petitions to the Commissioner	
123	123	50	50			Processing fee under 37 CFR1.17(q)	
126	126	180	180			Submission of IDS	
581	581	40	40			Recording assignment per property	
146	146	740	370			Filing a submission after final	
149	149	740	370			For each additional invention to be examined	
179	179	740	370			Request for Continued Examination	
169	169	900	900			Request for expedited examination of a design application	

Other fee: \_\_\_\_\_

**SUBTOTAL (3) \$ \_\_\_\_\_**

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

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Ph: (408) 736-0224

Date

2/20/02